

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

---

**Applicant(s):** Millette et al. : **Group Art Unit:** 3692  
**Serial No.:** 09/900,554 : **Examiner:** Nga B. Nguyen  
**Filed:** July 6, 2001 : **Attorney Docket No.:** 006878.112500  
**For:** METHOD FOR STRUCTURING AN :  
EQUITY ISSUE UTILIZING A :  
CLOSED BLOCK BUSINESS :  
ENTITY

---

**ELECTRONIC MAIL CERTIFICATE**

Date of Deposit: March 9, 2007

I hereby certify that the following attached paper(s) and/or fee

- (1) Transmittal Form;
- (2) Petition for Extension of Time (2 month);
- (3) Amendment in Response to October 10, 2006 Office Action

are being transmitted electronically to the United States Patent Office on the date indicated above.

Respectfully submitted,  
**GREENBERG TRAUIG, LLP.**

/christine lucas/  
Christine Lucas  
Patent Prosecution Paralegal

Dated: March 9, 2007

**CORRESPONDENCE:**

Barry J. Schindler, Esq.  
**GREENBERG TRAUIG LLP**  
Met Life Building  
200 Park Avenue, 34th Floor  
New York, New York 10166  
Phone: 212-801-2244  
Fax: 212-801-6400

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

15

Application Number

09/900,554

Filing Date

July 6, 2001

First Named Inventor

Millette et al.

Art Unit

3692

Examiner Name

Nga B. Nguyen

Attorney Docket Number

006878.112500

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

Remarks



After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Greenberg Traurig LLP

Signature

Printed name

Barry J. Schindler

Date

March 9, 2007

Reg. No.

32,938

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.